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# MAKING DIFFICULT ASTHMA LESS DIFFICULT: EVALUATING, TREATING AND THE APPLICATION OF PRECISION MEDICINE

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# Disclosures

- Consultant: GSK, AstraZeneca, Sanofi (no financial support), Boehringer Ingelheim, Actelion
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# Approach to Difficult asthma: Defining Severe asthma

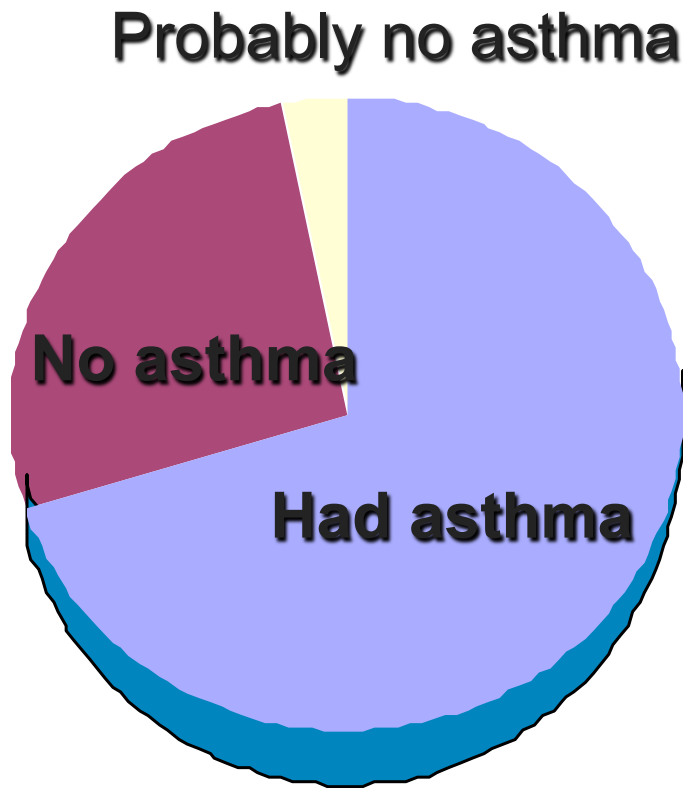
- Step 1: Confirm an asthma diagnosis and identify difficult to treat asthma
- Step 2: Differentiate Severe Asthma from milder asthma
- Step 3: Determine whether Severe Asthma is controlled or uncontrolled

*ATS-ERS International Guidelines on Severe Asthma  
Eur Resp J 2014*

# #1 Confirm an asthma diagnosis and identify difficult to treat asthma

- Critical to defining and identifying severe asthma
- Requires other (primary) diagnoses to be excluded, including vocal cord dysfunction
- Requires appropriate treatment of co-morbidities including poor adherence, addressable environmental exposures, etc
- Should include evaluation and treatment by asthma specialist for 3 or more months

# #1. Make sure the patient has asthma

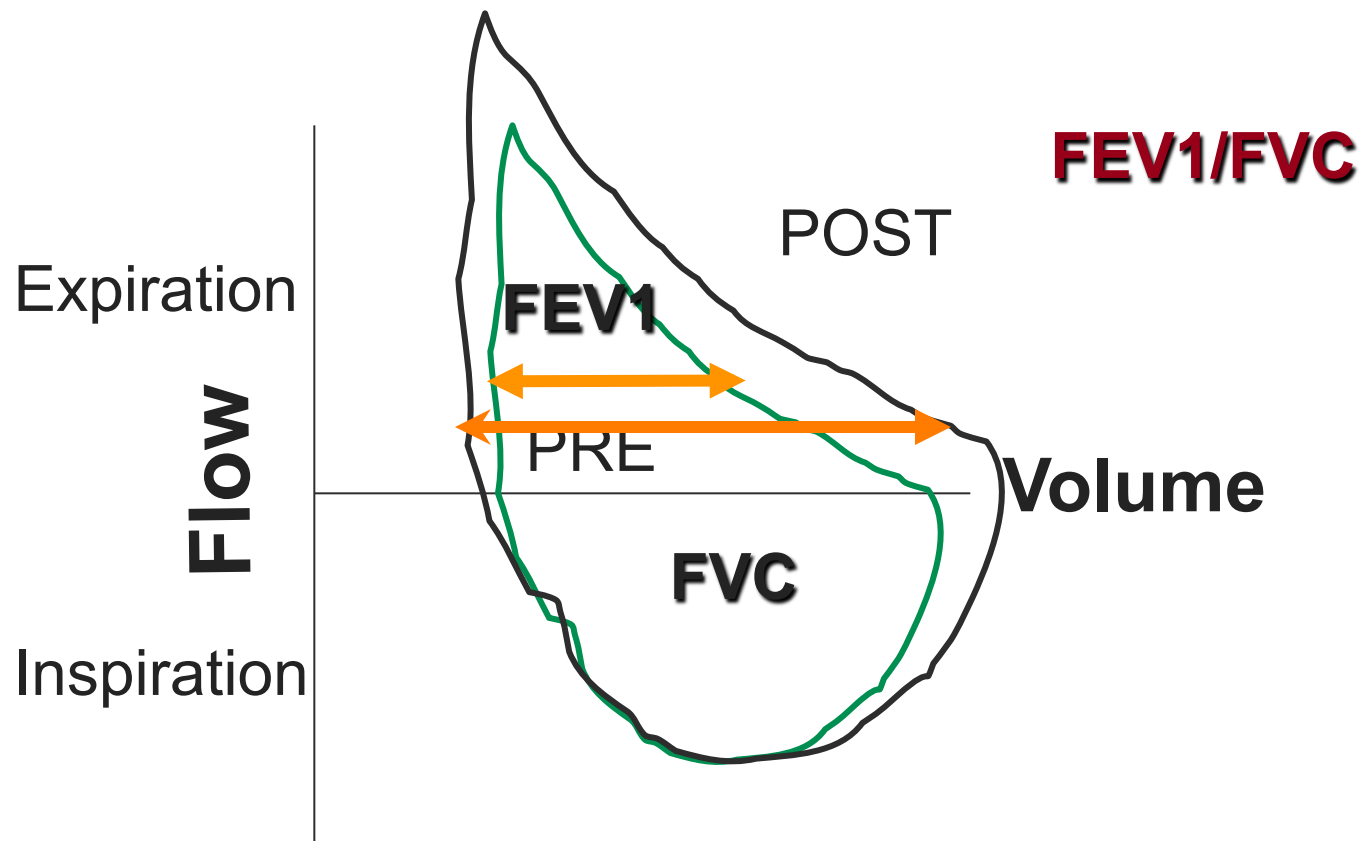


**Did not have asthma!**

Vitari, C Presented ATS 2009

- 150 pts referred to difficult asthma clinic at UPMC
  - Extensive evaluation
- Similar to results from Canada in milder asthma (random dialing approach evaluating ~500 “asthmatics” *Aaron et al, CMJ 2008*)

# CRUCIAL testing: pre and post bronchodilator spirometry



Asthma requires 12% improvement in FEV1 (and at least 200 ml)

# “Time to think of masqueraders”

- Normal spirometry
  - Symptoms out of proportion to FEV1
  - Lack of bronchodilator response
- Abnormal spirometry
  - Atypical pattern to symptoms
  - Poor response to medications

# Primary masquerader: Vocal cord dysfunction

- Episodic usually dry cough
- Episodic chest tightness which often is right below neck
- Difficulty “getting breath in”
  - more common than getting air out
  - Often associated with strong aromas/exercise



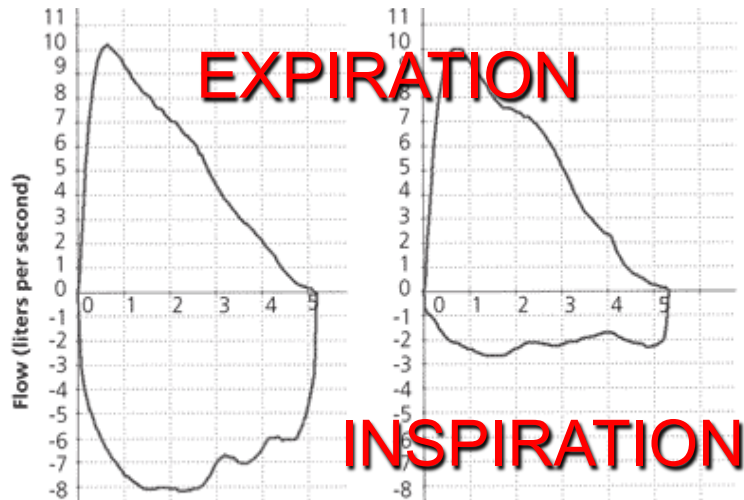
# VCD imitating difficult asthma

- RED FLAGS!!



- Presents with long hx of “asthma exacerbations”
  - often with history of ED visits/hospitalizations
  - high doses of every asthma medication, including systemic CSs but poor response to Rx and resultant side effects
- Episodic cough and SOB, especially around irritants (“allergies” to roses, perfumes, etc)
- Can’t get “enough air”
- Symptoms persist despite normal lung function testing

# VCD diagnosis



B



- Paradoxical inspiratory closure of VCs
  - Induced or spontaneous
- Diagnosed by history, flow-volume loops and definitively by laryngoscopy
- May have concurrent asthma

# Abnormal spirometry

- Obstructive pattern (low FEV1 and FEV1/FVC)
  - COPD
  - ABPA
  - Constrictive bronchiolitis
  - Churg-Strauss (usually between flares)
- Restrictive pattern (low FEV1, not FEV1/FVC)
  - Obesity
- Mixed pattern (low FEV1 and FVC, FEV1/FVC still low)
  - Hypersensitivity pneumonitis
  - Churg-Strauss
  - Asthmatic Granulomatosis/Autoimmune airway disease

*Dx helped by more advanced lung testing and often Hi Res CT*

## #2 Differentiate severe asthma from milder asthma

- *Severe asthma* **REQUIRES** treatment with high dose inhaled corticosteroids (ICS) ( $\geq 1000$  mcg FP or equivalent) plus a second controller (and/or systemic CS) to prevent it from becoming “uncontrolled” or remains “uncontrolled” despite this therapy

# Potentially Treatment Responsive

- Presence of “reversible” associated factors
  - Smoking
    - ~25% of asthmatics smoke (general population), with associated high HCU Thomson, Eur Resp J 2004
    - likely to have “different” type of disease (less steroid responsive) Lazarus, Am J Resp Crit Care Med 2007
  - Persistent allergen exposure
  - Anxiety
  - Compliance/adherence

# Patient not using medications appropriately/correctly

- Severe asthma long labeled as disease of poor compliance
  - In many cases, it is! But, reasons for poor compliance highly variable and common
  - Reasons for compliance issues vary!
    - Don't like taking inhaled meds
    - Can be VERY Expensive!!!
    - Don't want to be dependent on medication: sign of weakness/ admitting poor health especially in younger adults
    - Forgot: How good are you at remembering to take *pill* twice/ day?
      - BTW, cancer chemotherapy compliance rates about same
    - Meds *DON'T WORK*
- Taking inhaled medications, but not using devices correctly
  - So many to choose from!

# Persistent co-morbidities worsen asthma

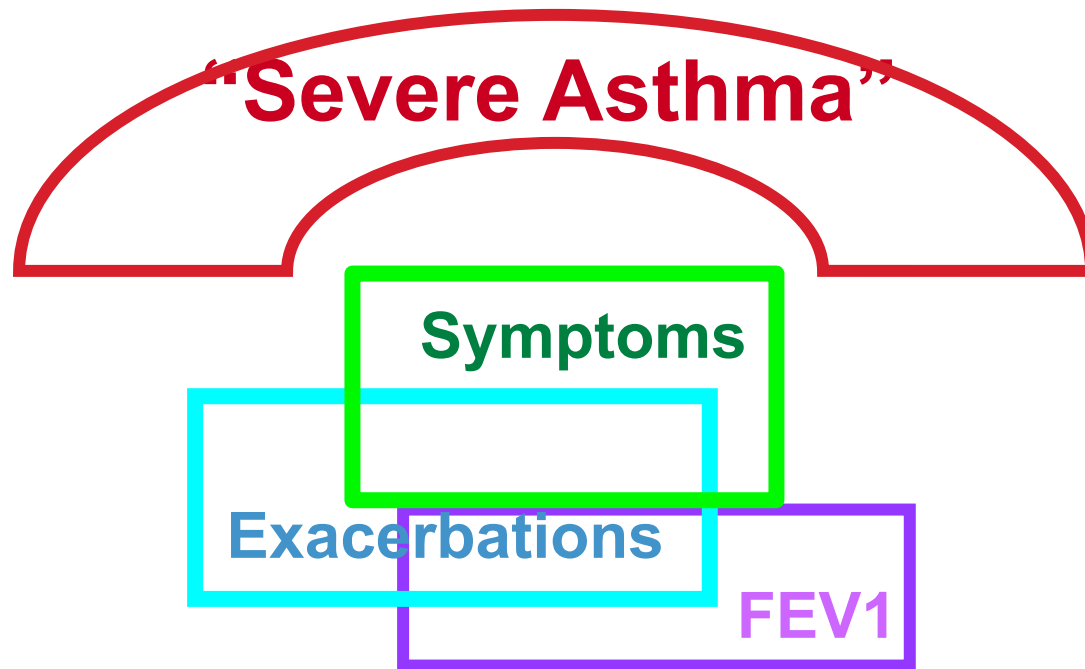
- Asthma may not be severe, but complexity of presentation makes it *appear* severe
  - Obesity profoundly worsens SOB but may NOT be due to obstructive physiology and BHR
    - Asthma more likely to be misdiagnosed in obese men, especially with recent urgent HCU Parkhale Chest 2010
    - When IS asthma, may be less responsive to usual meds Peters-Golden Eur Resp J 2006
  - Chronic sinusitis: worsens cough, sputum--difficult to Rx!
  - GERD: Clearly associated with asthma, little evidence for cause-effect Mastronarde NEJM 2009

# #3 Determine whether Severe Asthma is controlled or uncontrolled

- *Poor symptom control*: ACQ consistently  $>1.5$  or ACT  $<20$  (or “not well controlled” by NAEPP or GINA guidelines) over 3 months of evaluation
- *Frequent severe exacerbations*: 2 or more bursts of systemic CSs ( $>3$  days each) in previous year
- *Serious exacerbations*: at least one hospitalization, Intensive Care Unit stay or mechanical ventilation in the previous year
- *Airflow limitation*: FEV1  $<80\%$  predicted (in presence of reduced FEV1/FVC) following med withhold
- Any ONE of these qualifies as uncontrolled



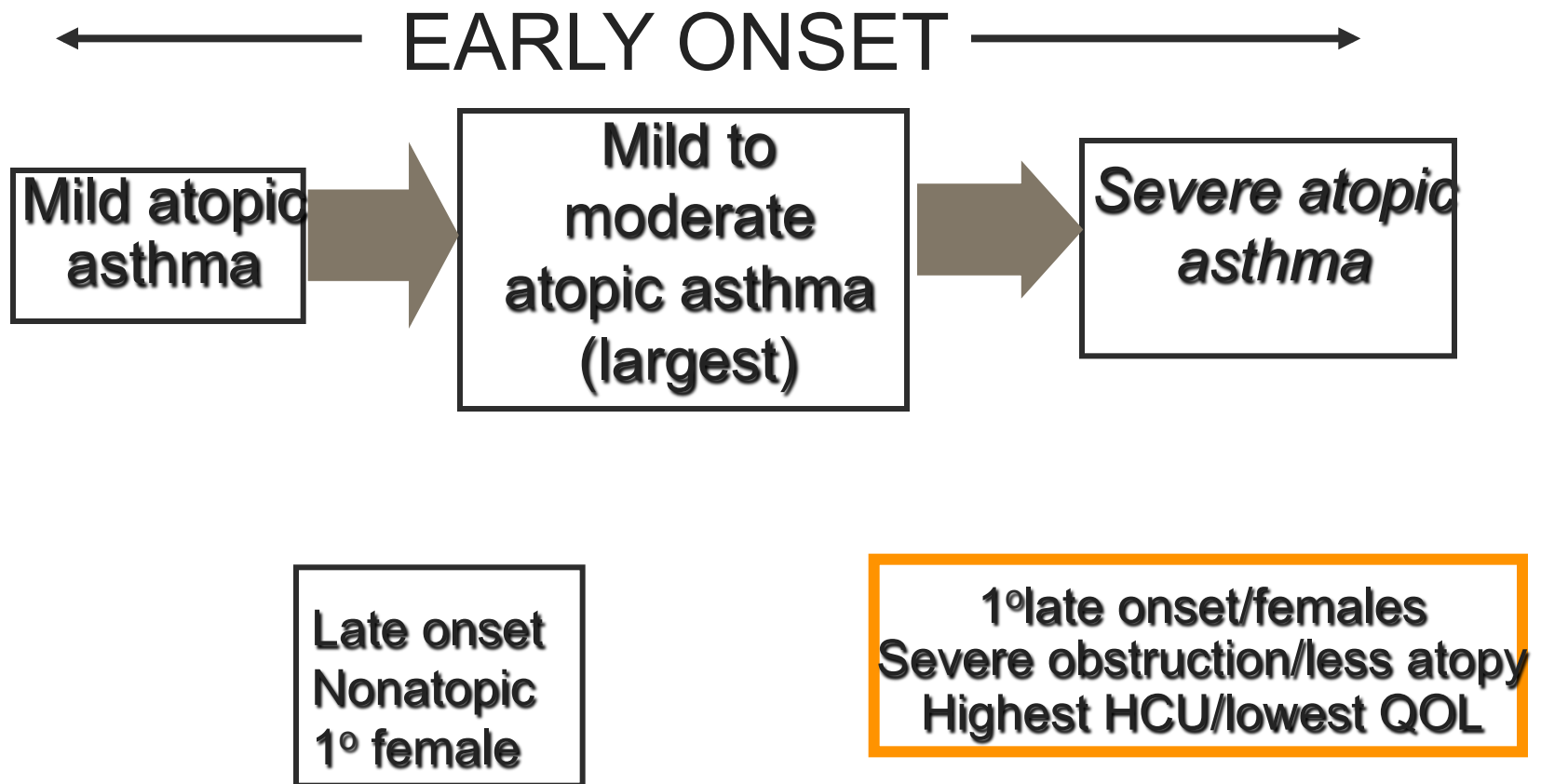
# 2016: Severe Asthma *umbrella* definition



# The 3 “A”s: Arthritis, anemia and asthma

- How are they all alike?
  - They all are *nonspecific and general* characteristics of disease, describing joint swelling, low RBC numbers or reversible airway obstruction
  - They shed almost no light on what caused these characteristics to develop
    - *No self respecting rheumatologist would ever diagnose a patient with “arthritis”*

# Clinical Phenotyping in the Severe Asthma Research Program (SARP): Importance of age at onset and FEV1



# The Transition to Inflammatory/ Molecular Phenotypes



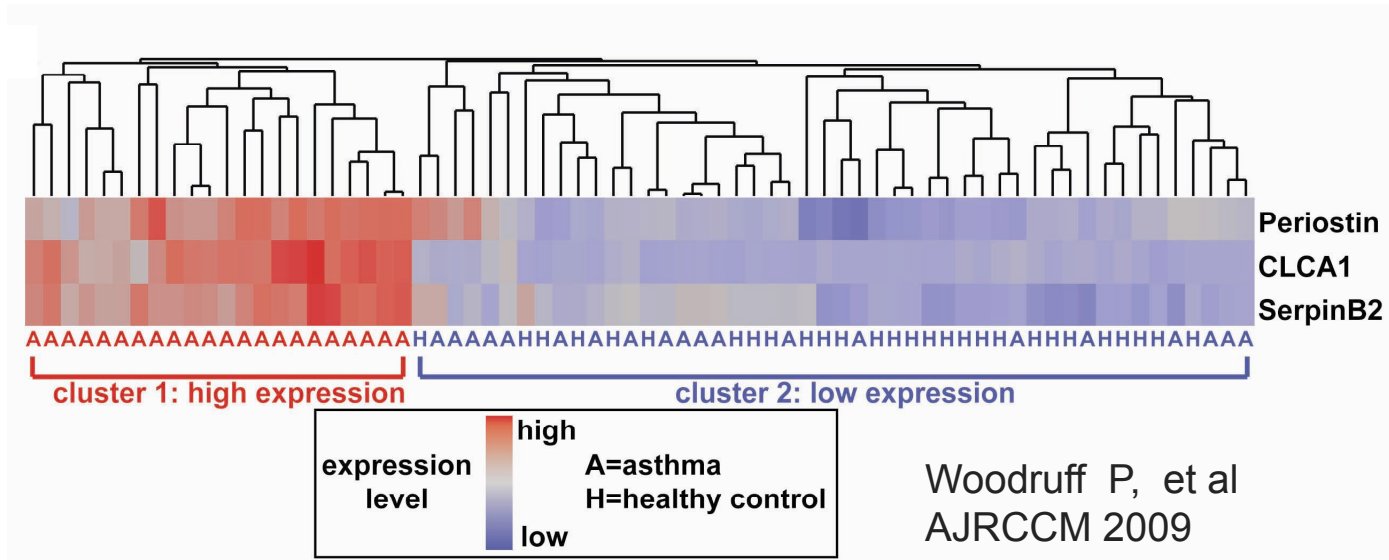
## Phenotypes

Overlapping clinical  
physiologic hereditary  
characteristics

## Inflammatory/Molecular phenotypes

Incorporation of associated pathobiologic  
MECHANISMS, ideally at molecular  
level, to molecularly define a clinically  
recognizable phenotype

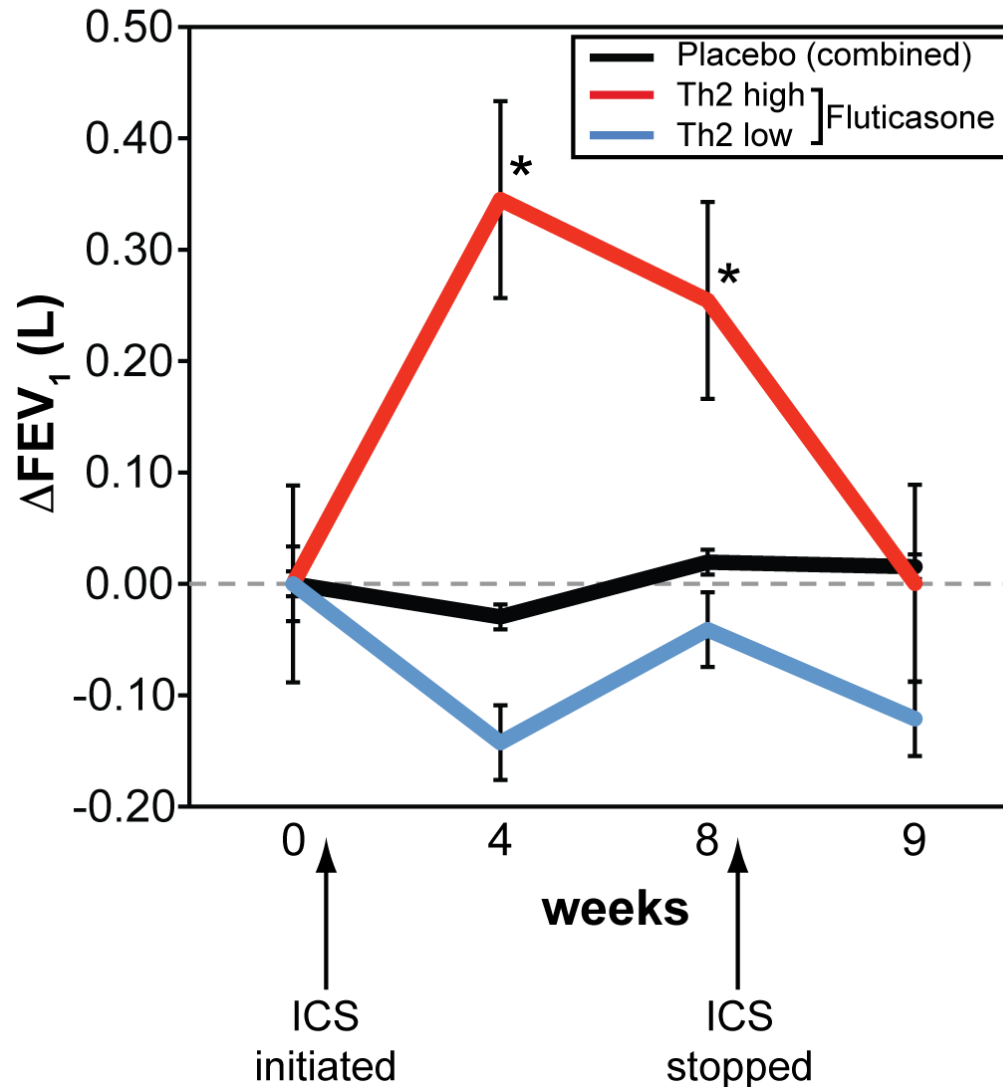
# Molecular Phenotypes: Biomarkers identify Th2(like)-Hi



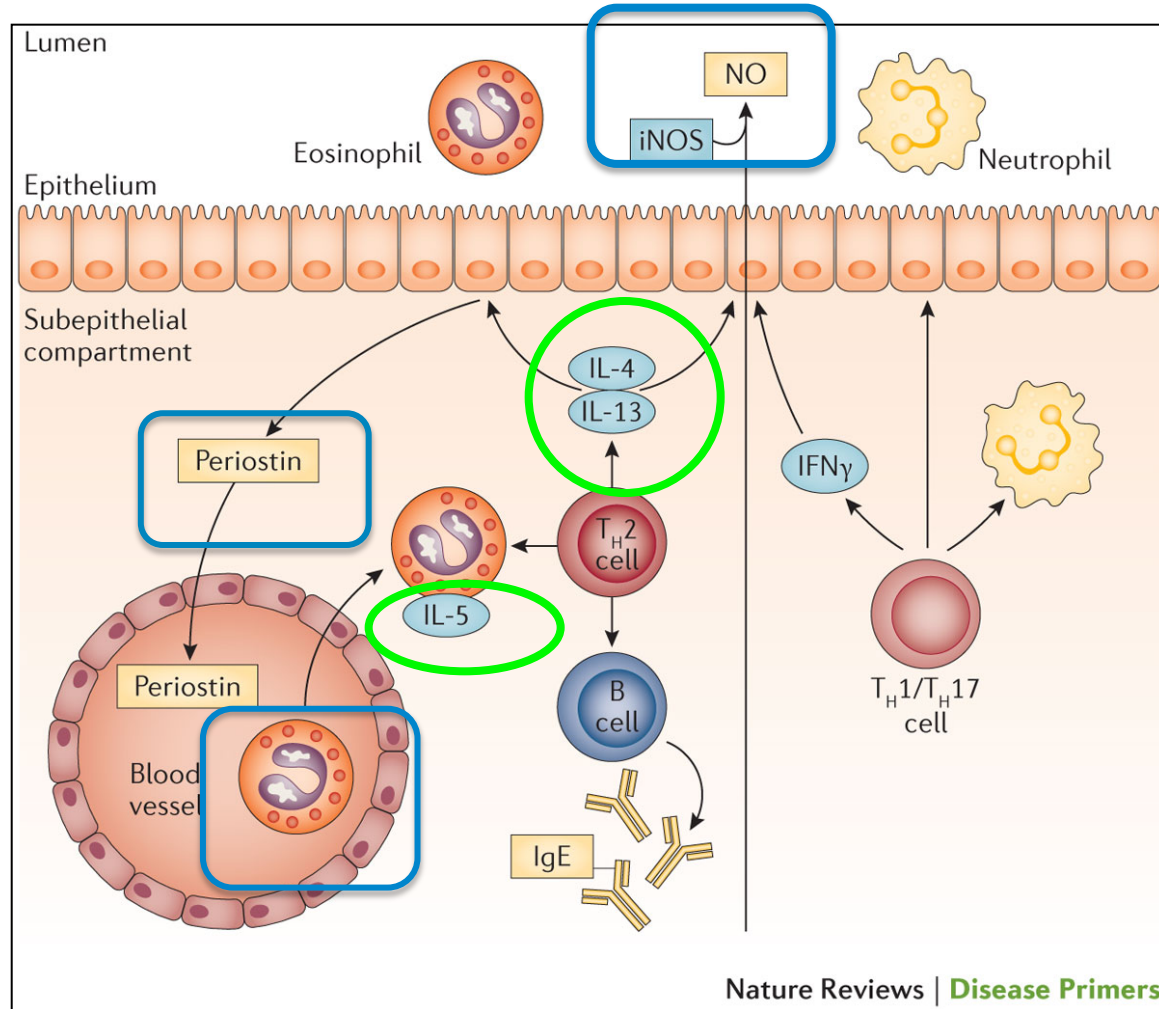
3 signature genes expressed *in vitro* in epithelial cells in response to IL-13 applied to *ex vivo* epithelial cells----“cluster” of mild asthmatics with:

- More atopy, eosinophils, SBM thickening and bronchial hyperresponsiveness and increased Type 2 cytokines in tissue

# “Th2/Type-2 Hi”: predicts clinically meaningful responses to ICS Rx



# "Type-2" inflammation: The search for biomarkers



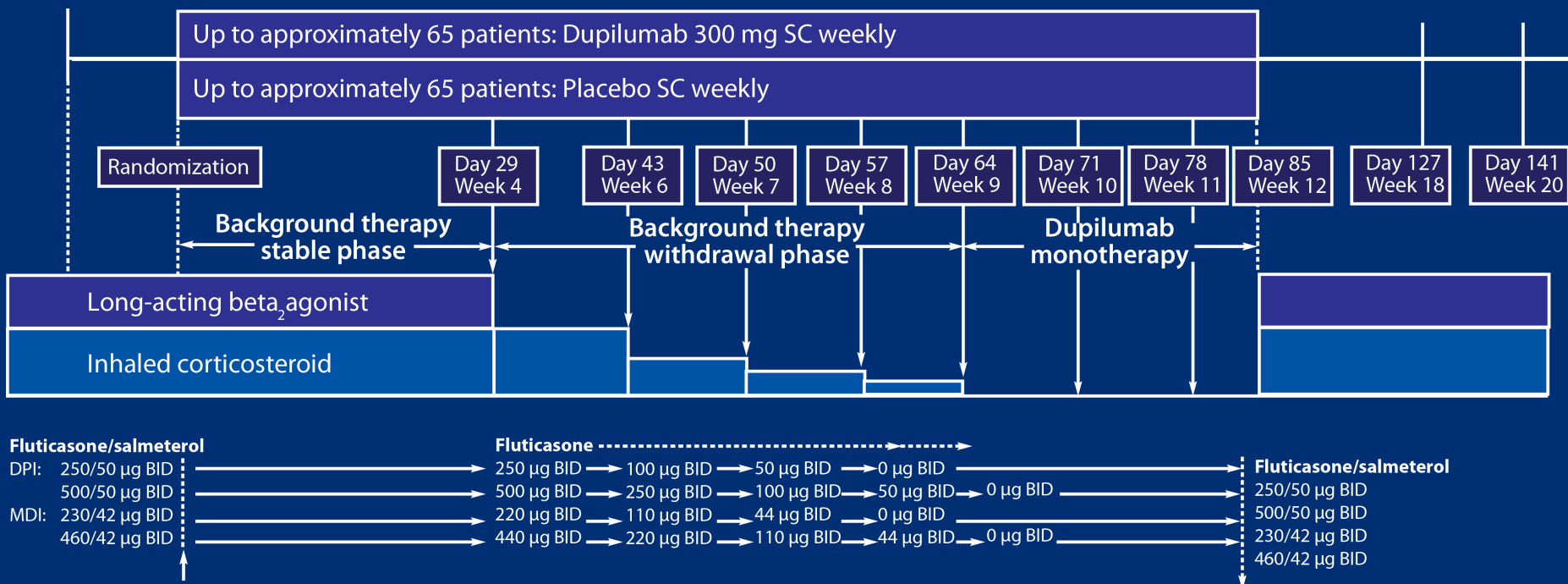
Holgate, S. T. Wenzel, Set al. (2015) Asthma  
Nat. Rev. Dis. Primers doi:10.1038/nrdp.2015.25

# Phase 2a targeting Type-2 Hi/Eos-Hi: Antibody to IL-4R $\alpha$

Screening/  
run-in period

**Treatment period**

Post-treatment  
period



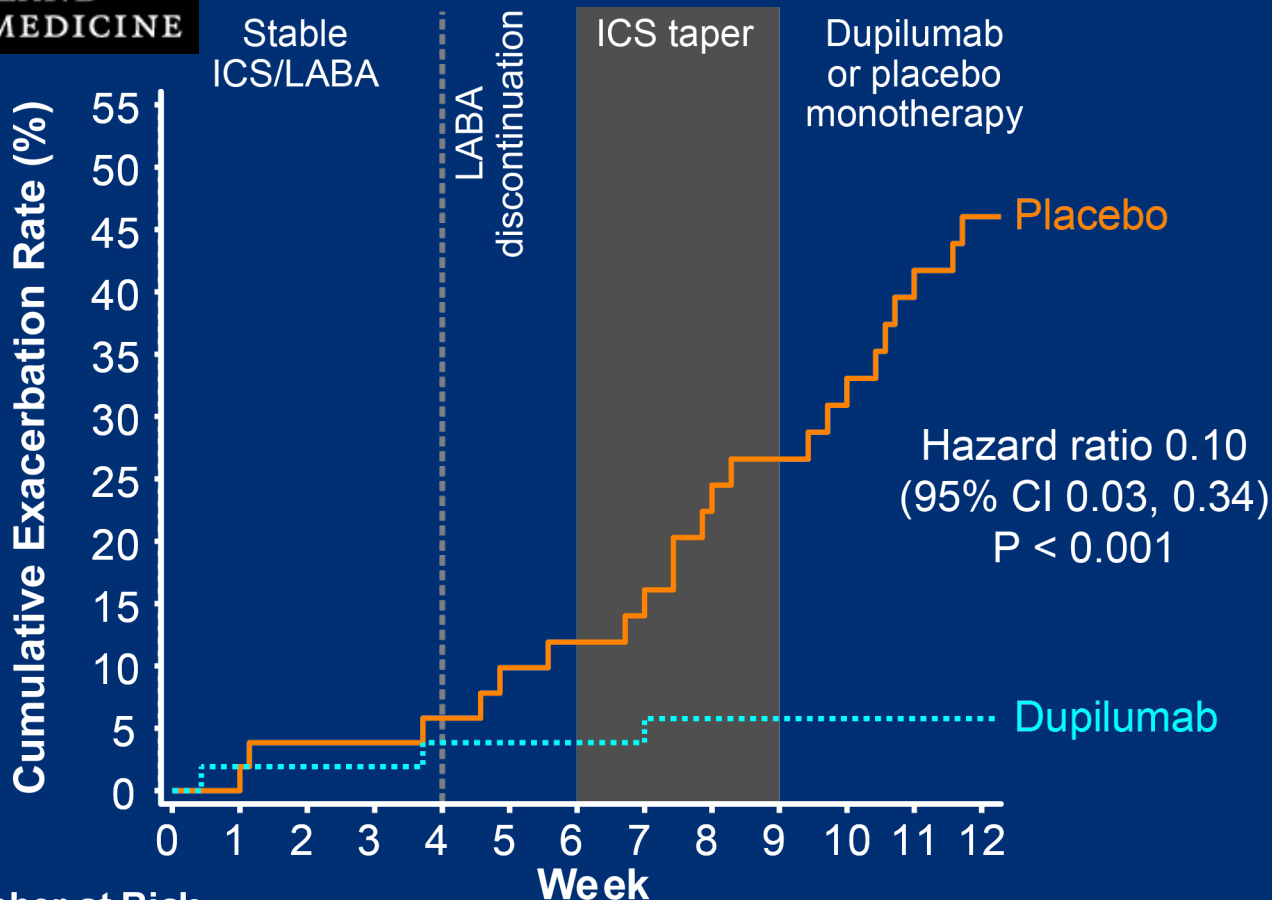
BID = twice daily dosing; DPI = dry powder inhalation; MDI = metered dose inhalation; SC = subcutaneous



# Inhibition of IL-4R $\alpha$ : Profound reduction in loss of asthma control



The NEW ENGLAND  
JOURNAL of MEDICINE



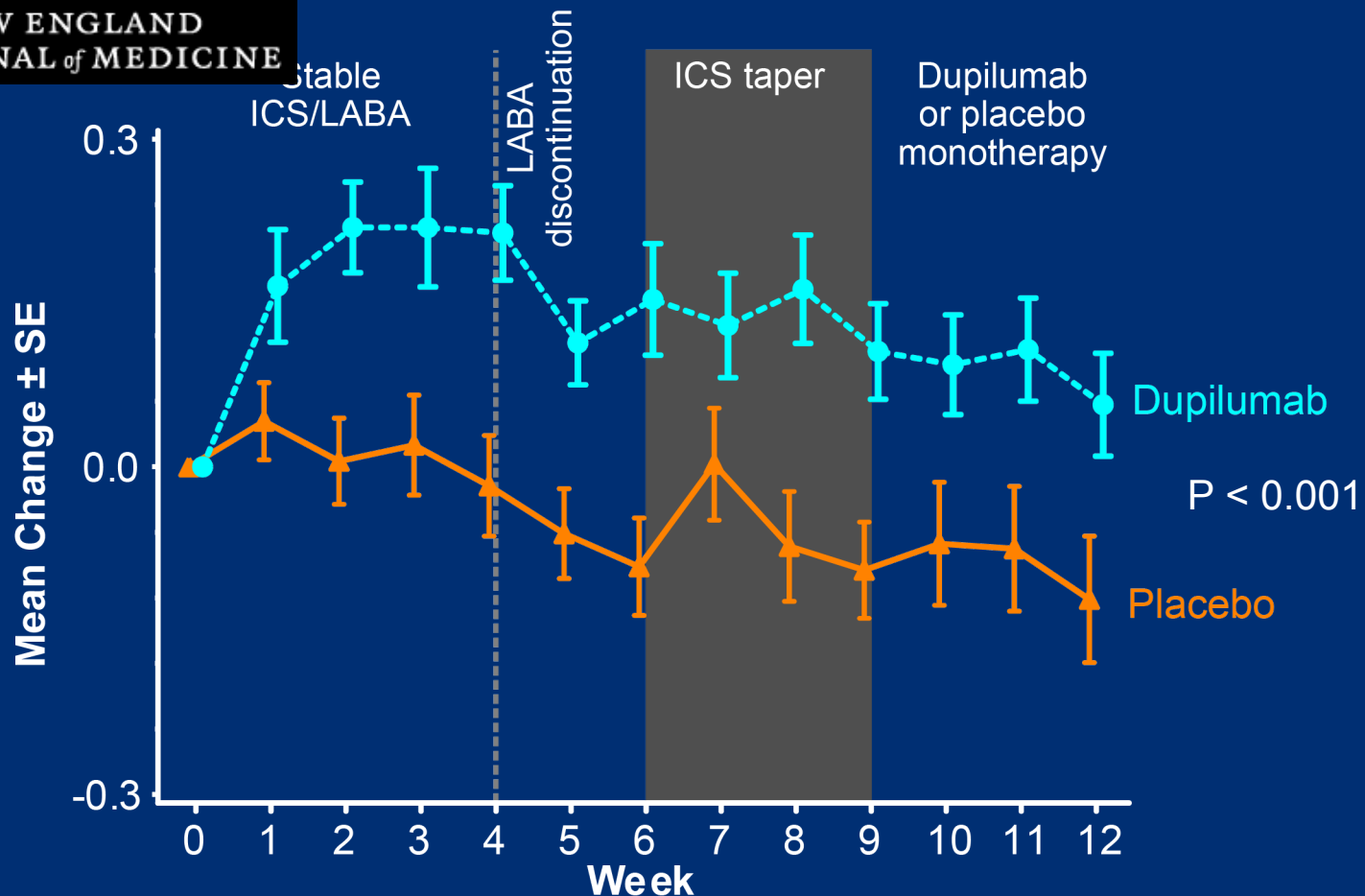
## Number at Risk

Dupilumab	52	51	51	51	50	50	50	50	47	45	44	43	42
Placebo	52	52	50	50	48	44	43	41	37	35	32	28	24

# Improvement in lung function, on top of combination Rx



The NEW ENGLAND  
JOURNAL of MEDICINE



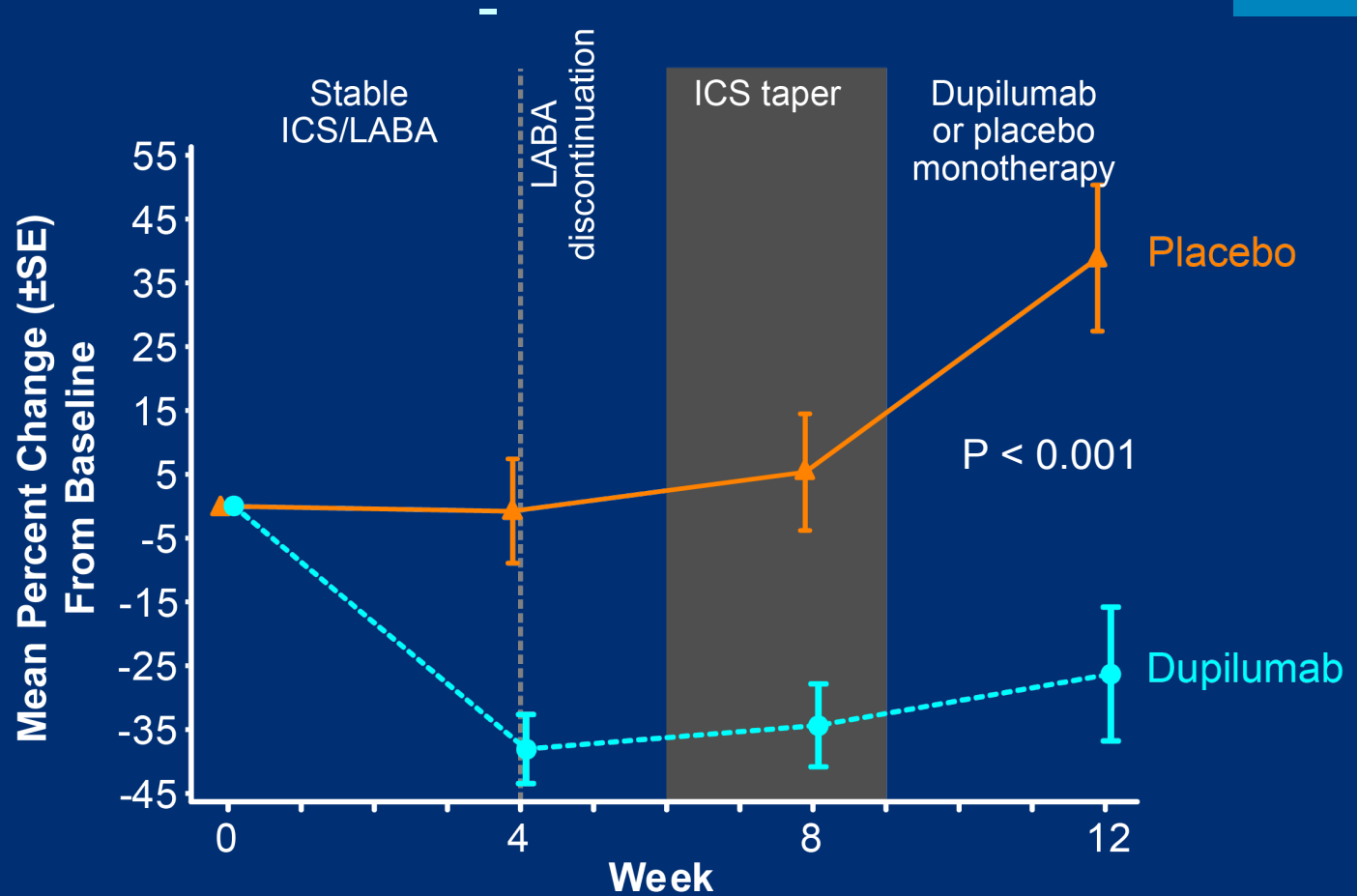
No. patients

Placebo	52	52	51	51	50	49	47	46	45	43	41	40	36
Dupilumab	52	51	52	52	50	49	52	52	47	46	46	45	45



# Proof of mechanism: FeNO declined with IL-4R $\alpha$ blockade

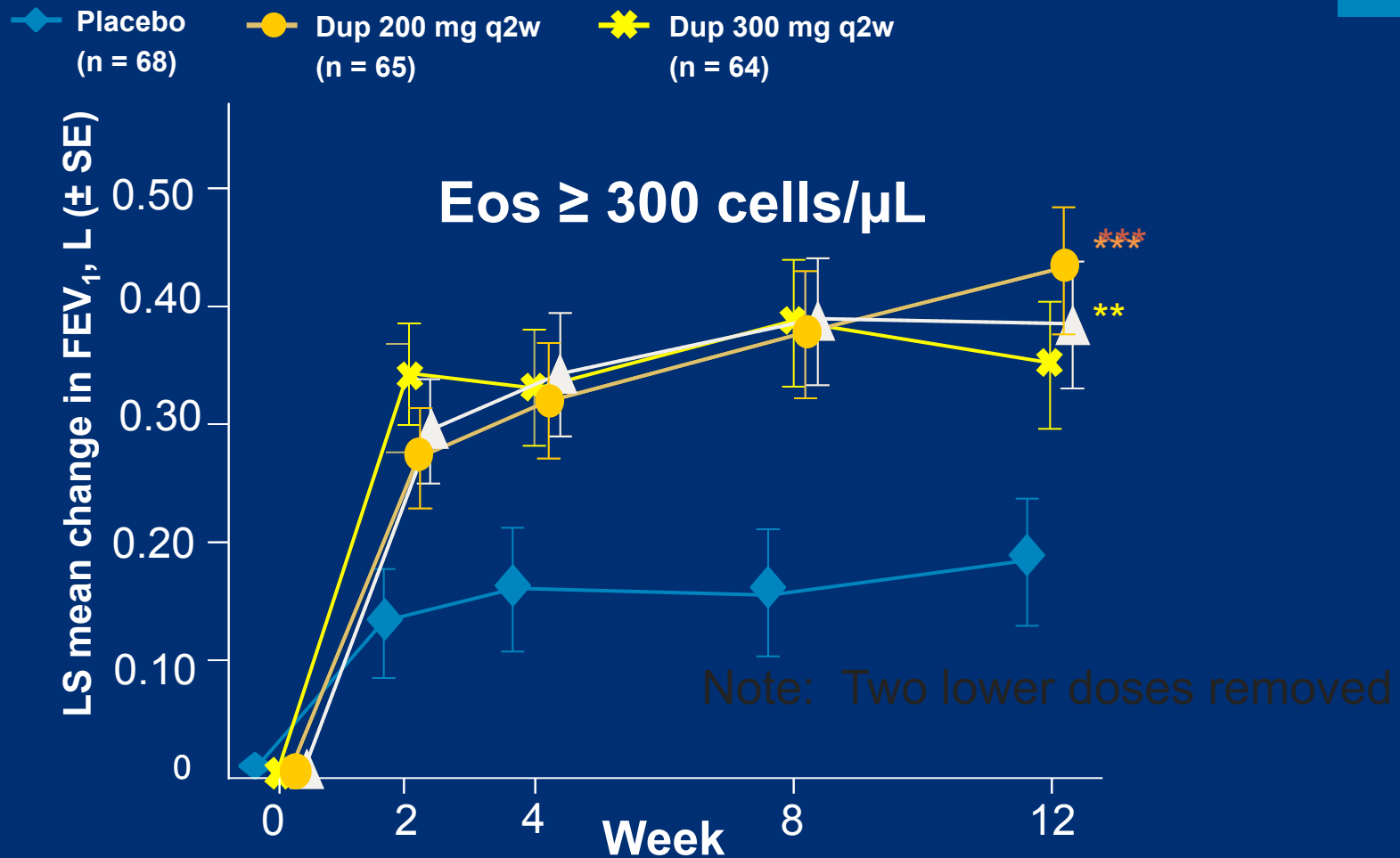
Correlation of FeNO with FEV<sub>1</sub> at Week 12:  
 $r = -0.408$   
 $P = 0.009$



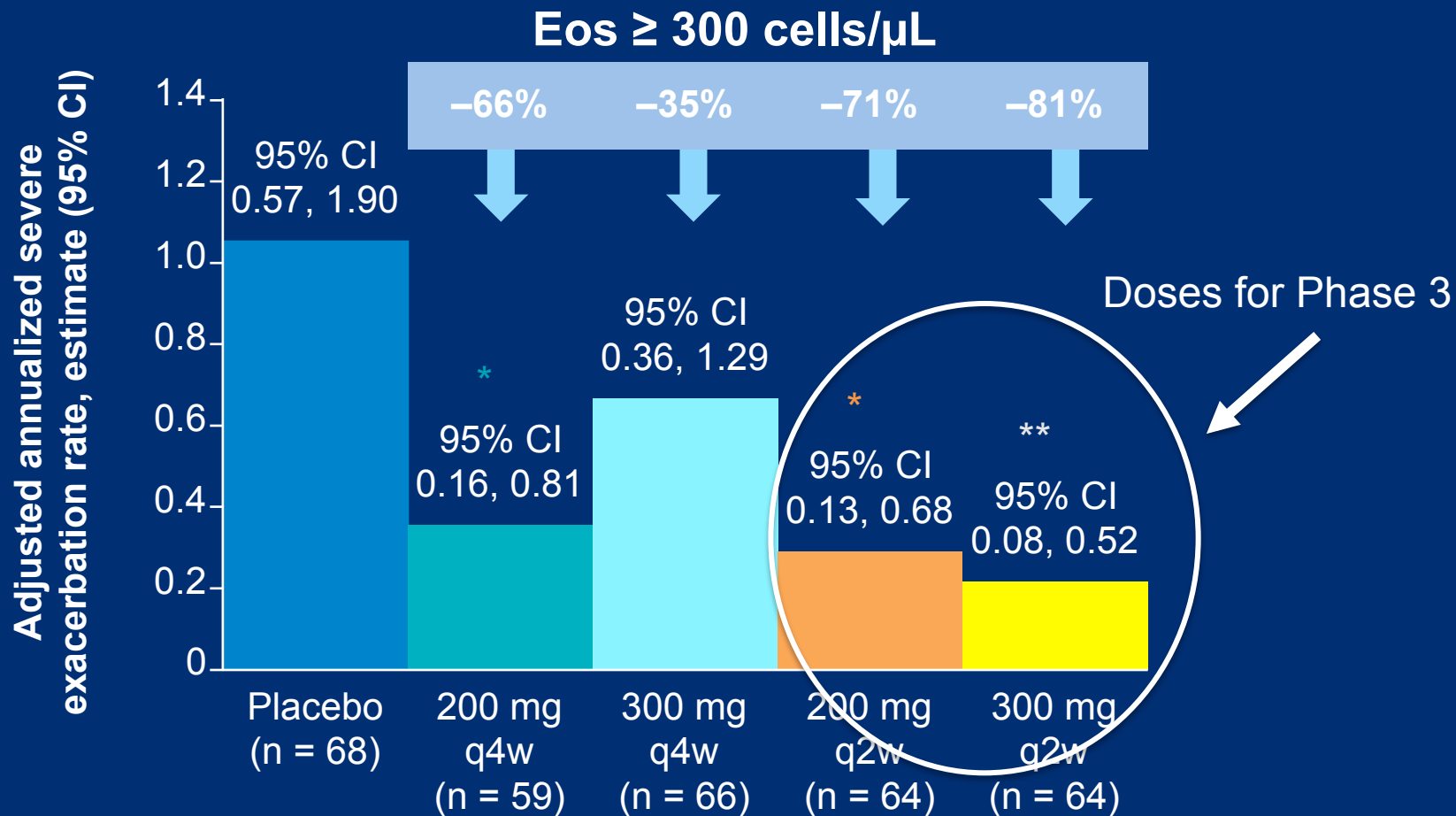
No. patients	
Placebo	52
Dupilumab	51
	51
	48
	47
	50
	44
	40



# Phase 2b Primary endpoint: Change in FEV<sub>1</sub> in patients with $\geq 300$ eos/ $\mu$ L

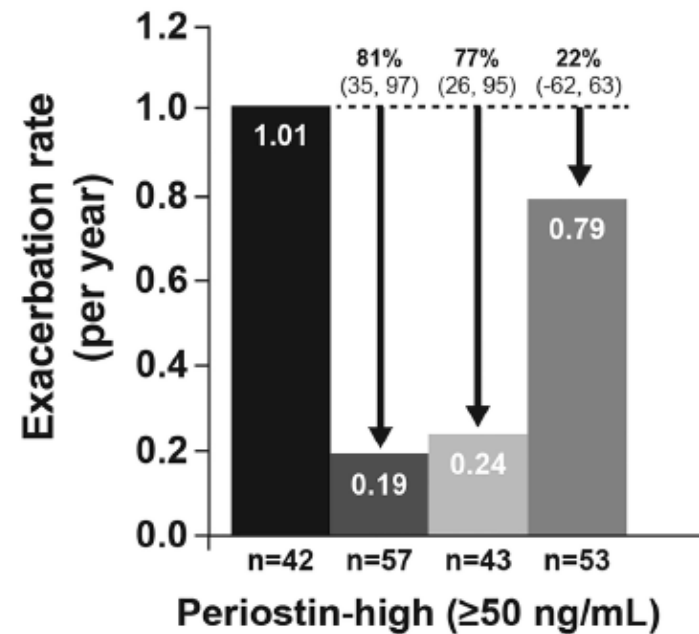
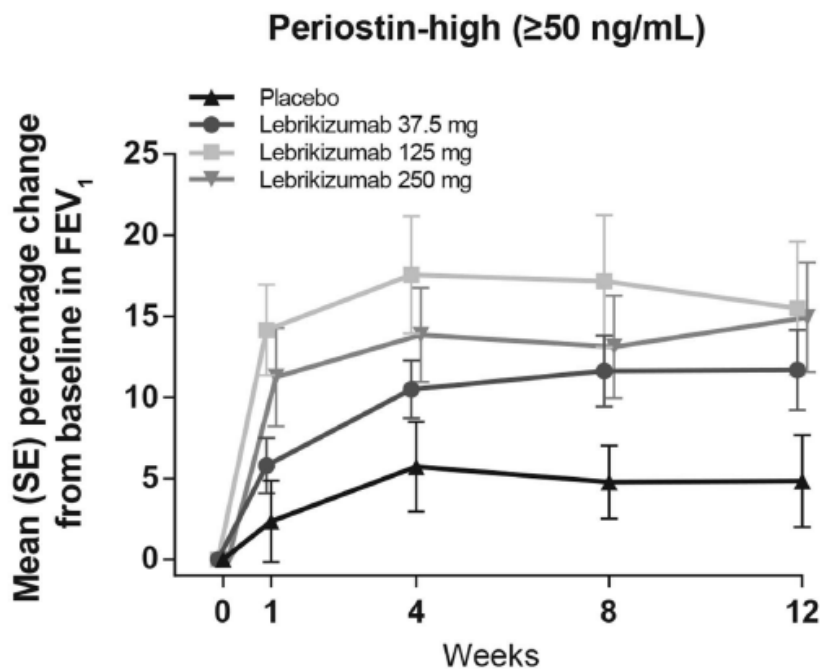


# Phase 2b: ~75% reductions in steroid requiring asthma exacerbations



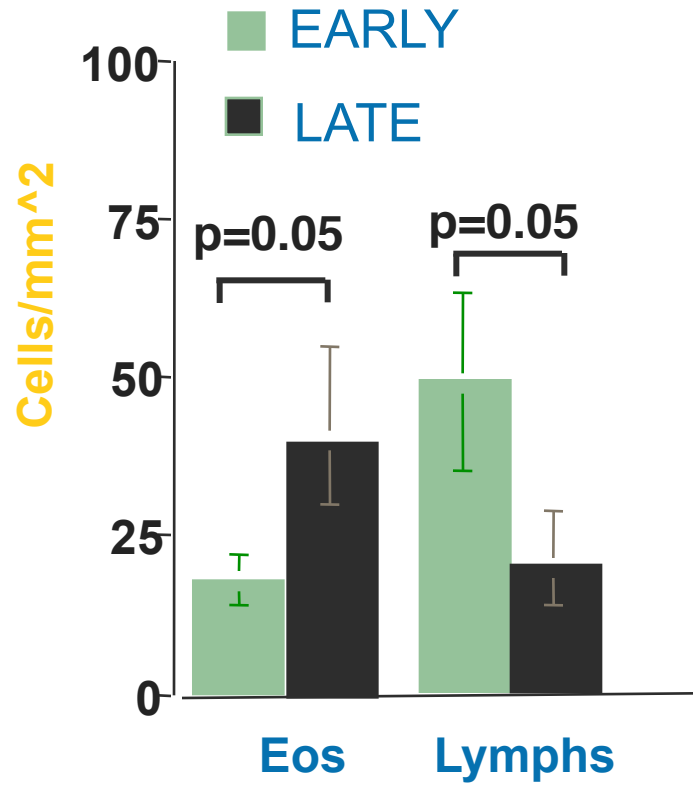
# Results with anti-IL-13 Rx support DUAL importance of IL-4 and -13

Hanania Thorax 2015

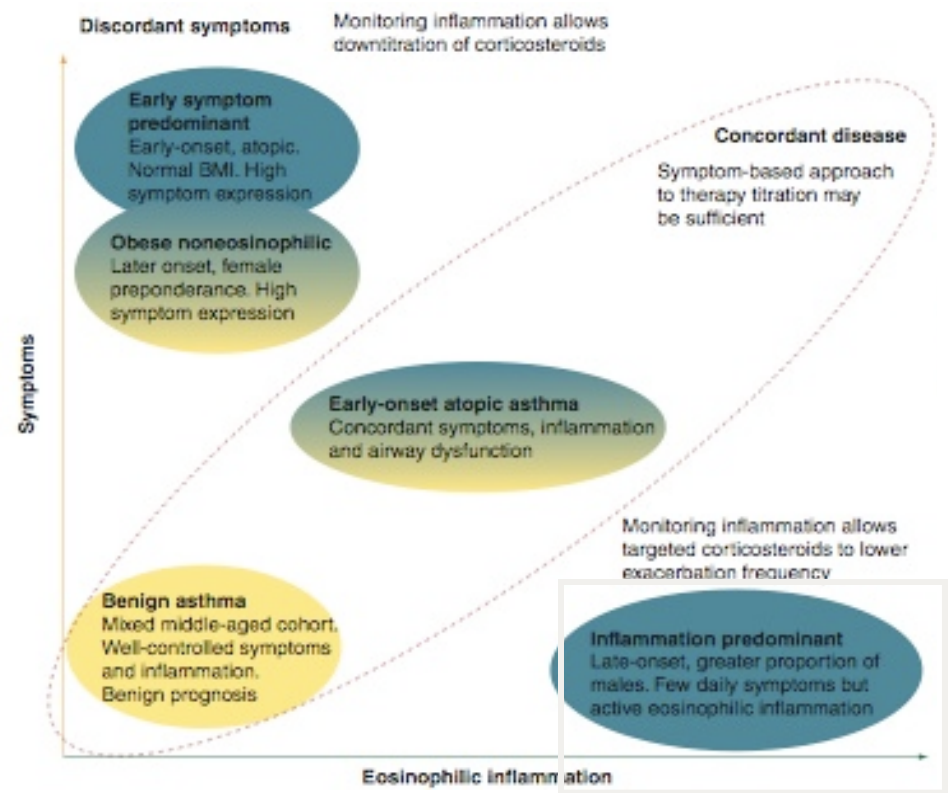


No dose response and little/no impact on symptoms/quality of life.  
Phase 3 results “disappointing”

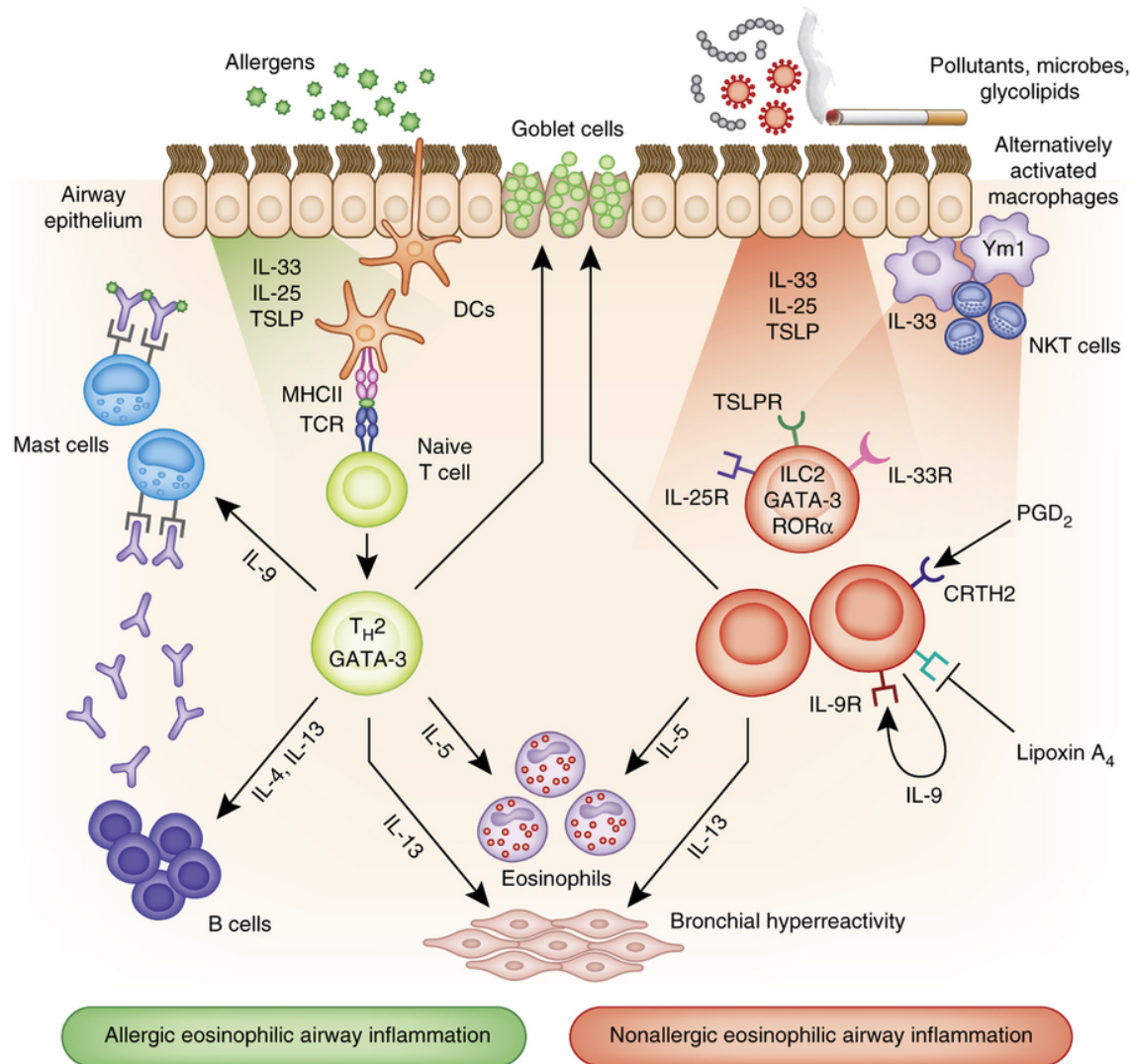
# A non-traditional Type 2 phenotype: Highly eosinophilic adult onset SA



LESS atopy, nasal polyps, aspirin sensitivity



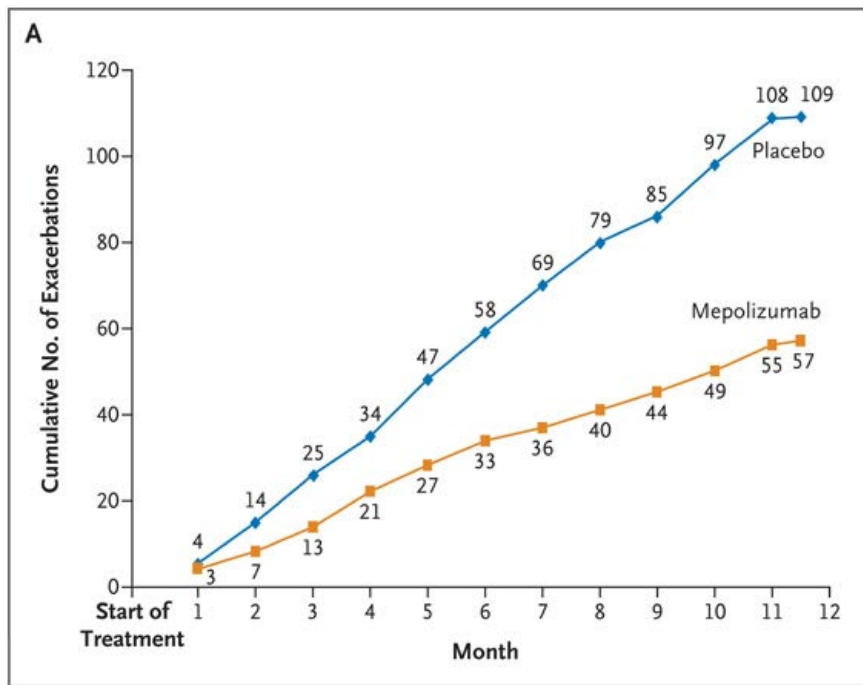
# “Less allergic/Hi Eosinophils”: Role for ILC2 cells in adult onset asthma?





# Anti-IL-5 confirms IL-5 as *molecular* feature of eosinophilic asthma

Haldar P et al. N Engl J Med 2009;360:973-984



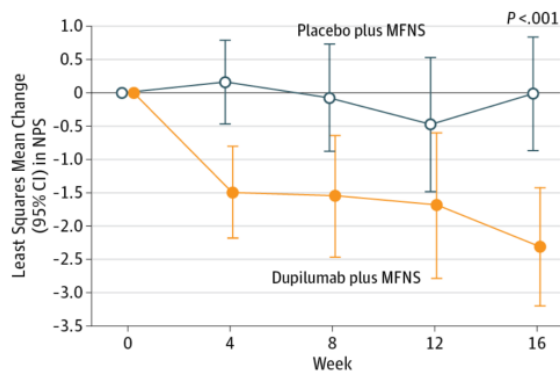
- IL-5 = potent eosinophilic cytokine
- No effect in “all asthma”
- Targeted Anti-IL-5 approach in “eosinophilic asthma” led to 40% reduction in asthma exacerbations
  - Many of these patients with late onset nasal polyp associated disease
- Both mepolizumab and reslizumab now approved in US



# But Anti-IL-4R approach also improves both nasal polyps and asthma

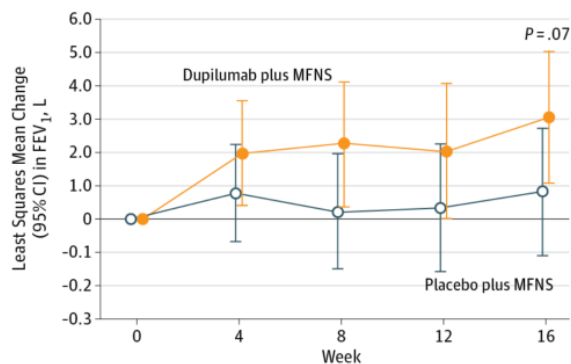
: Effect of Subcutaneous Dupilumab on Nasal Polyp Burden in Patients With Chronic Sinusitis and Nasal Polyposis: A Randomized Clinical Trial Bachert C et al JAMA 2016

**A** Endoscopic nasal polyp score (NPS) by treatment group



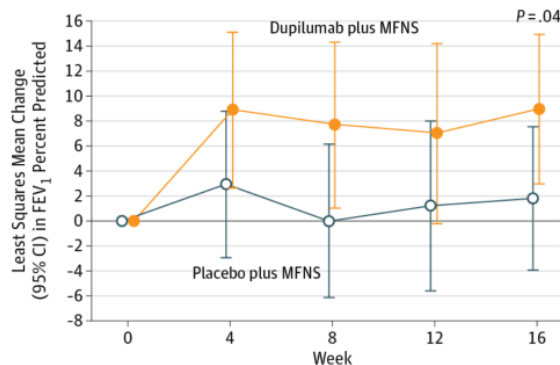
No. of patients	0	4	8	12	16
Placebo plus MFNS	19	19	18	17	15
Dupilumab plus MFNS	16	16	14	15	15

**B** Forced expiratory volume in the first second of expiration (FEV<sub>1</sub>) by treatment group



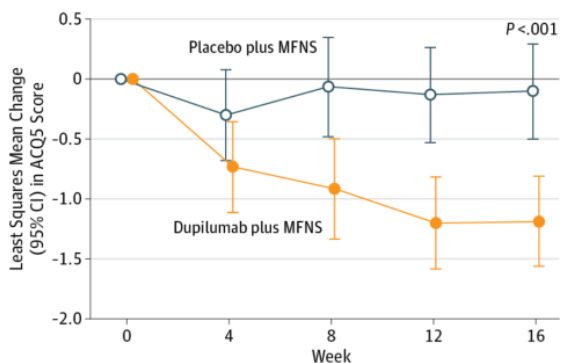
No. of patients	0	4	8	12	16
Placebo plus MFNS	17	17	17	16	14
Dupilumab plus MFNS	16	16	15	15	15

**C** FEV<sub>1</sub> percent predicted by treatment group



No. of patients	0	4	8	12	16
Placebo plus MFNS	17	17	17	16	14
Dupilumab plus MFNS	16	16	15	15	15

**D** 5-Question Asthma Control Questionnaire (ACQ5) score by treatment group

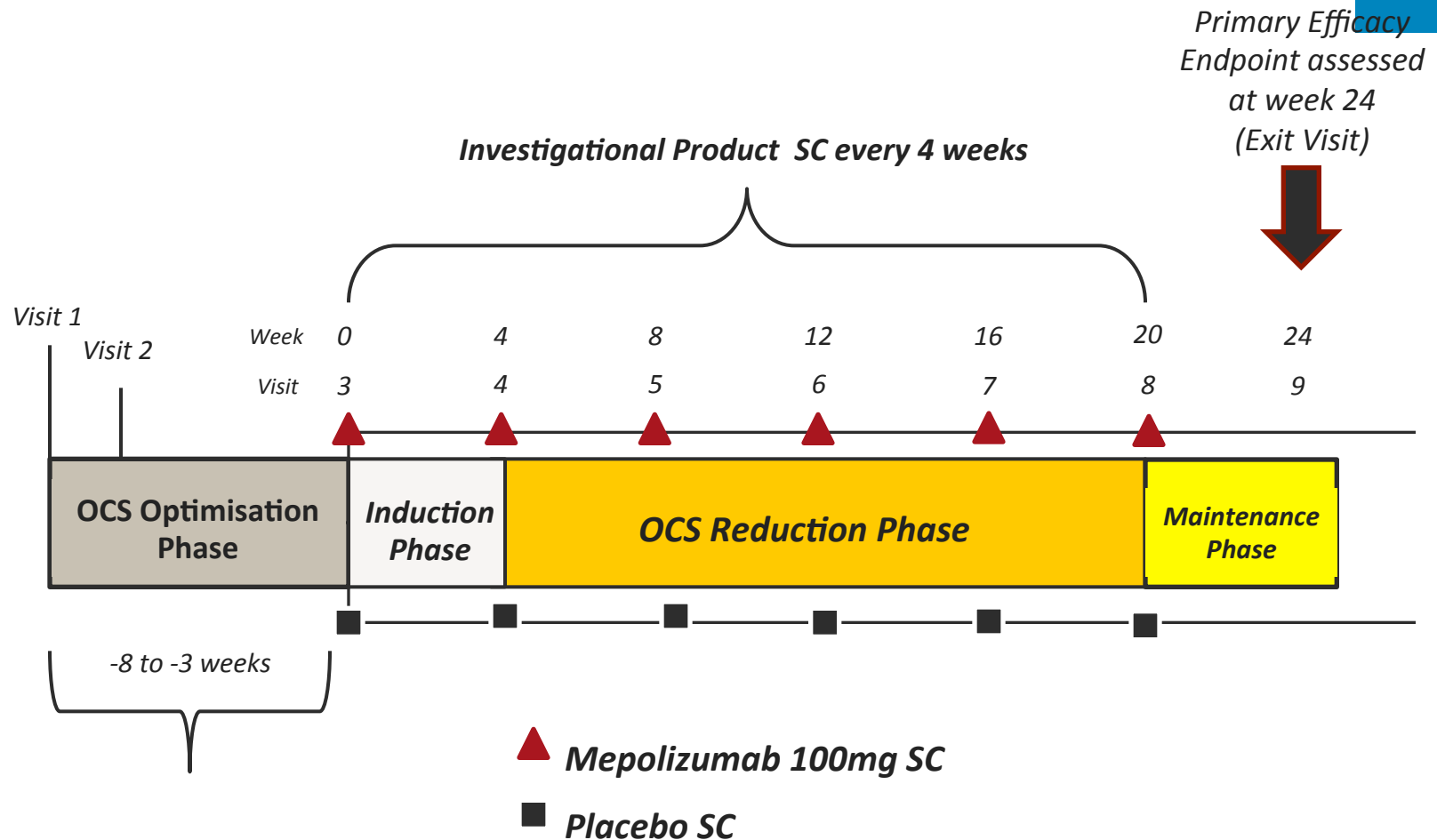


No. of patients	0	4	8	12	16
Placebo plus MFNS	16	16	16	13	12
Dupilumab plus MFNS	16	16	15	15	15

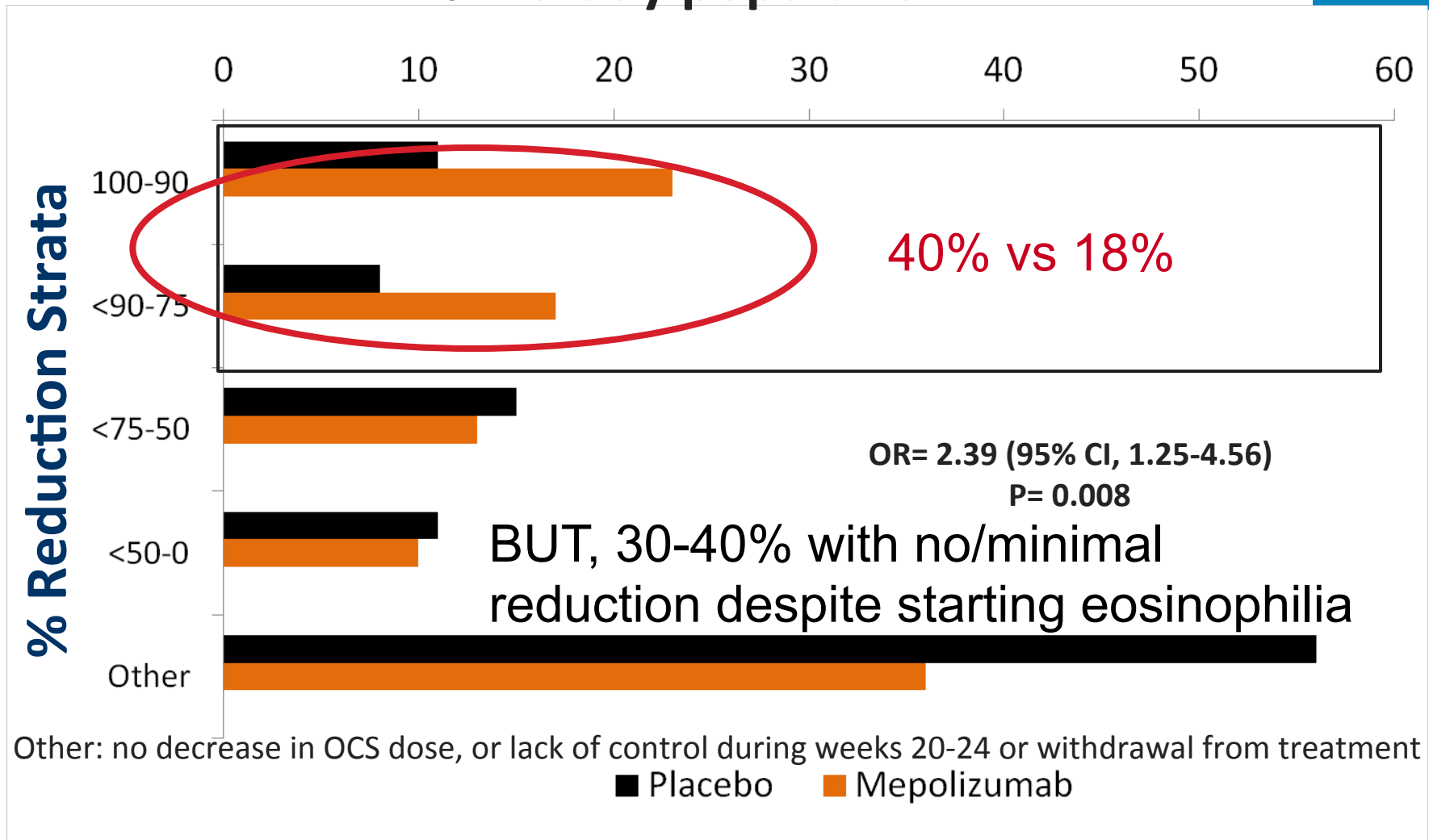
Despite lack of allergic component, supports association with **Th2** immunity

Which will be better approach remains to be studied

# Eosinophilic corticosteroid dependent asthma: Role for IL-5?



# 40% of patients able to decrease OCS by $\geq 75\%$ % study population



# Biomarkers: Type-2 immunity, FeNO and complex asthma

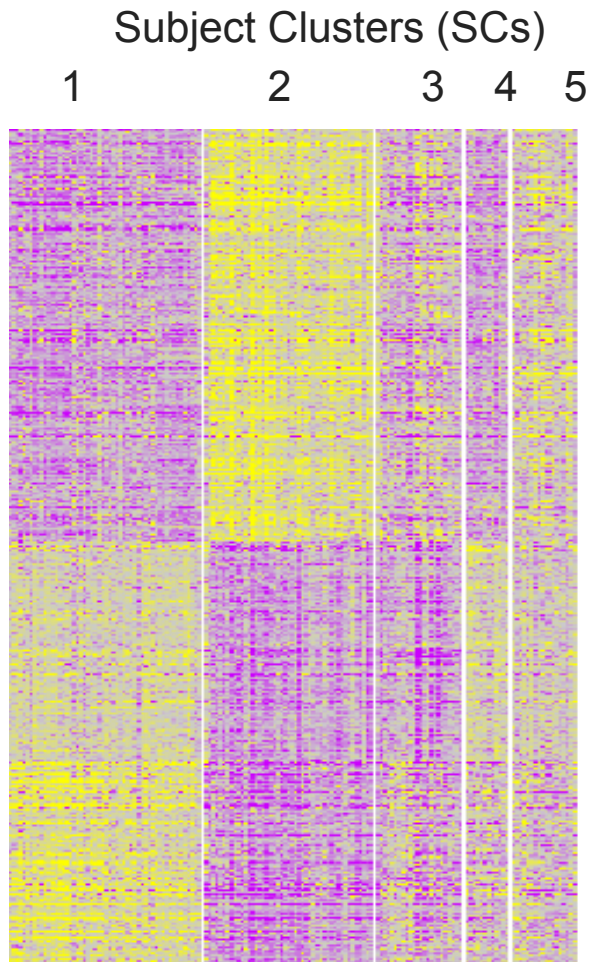
- FeNO associated with Th2/Type 2 responses but seen in mild to severe disease
- FeNO strong predictor of chronic oral CS use in Severe asthma *Wysocki JACI 2014*
- In primary human epithelial cells, Type-2 cytokines induced iNOS and Type-2 blockade *in vivo* decreased FeNO
  - But also induced by IFN $\gamma$ , and synergistically increased in combo
  - IFN $\gamma$  higher in severe asthma *Raundhal, J Clin Invest 2015*



# Does FeNO related epithelial gene expression identify useful clinical clusters?

- 155 epithelial brushings from range of asthmatics and healthy controls from SARP *Modena, AJRCCM Dec 2014*
  - Agilent microarrays performed
- 589 genes correlated strongly with FeNO
  - iNOS most strongly correlated  $\rho=0.71$
- Clustered genes, identified 5 patient clusters, 3 FeNO Hi and 2 FeNO Lo, with distinct characteristics
- Expanded to 1349 genes differentially expressed across the 5 clusters

# K-means clustering identifies 5 molecular participant clusters



Lo Highest Hi Lo Hi  
**FeNO Level**

**SC1:** HC and mild asthma, low inflammation and atopy

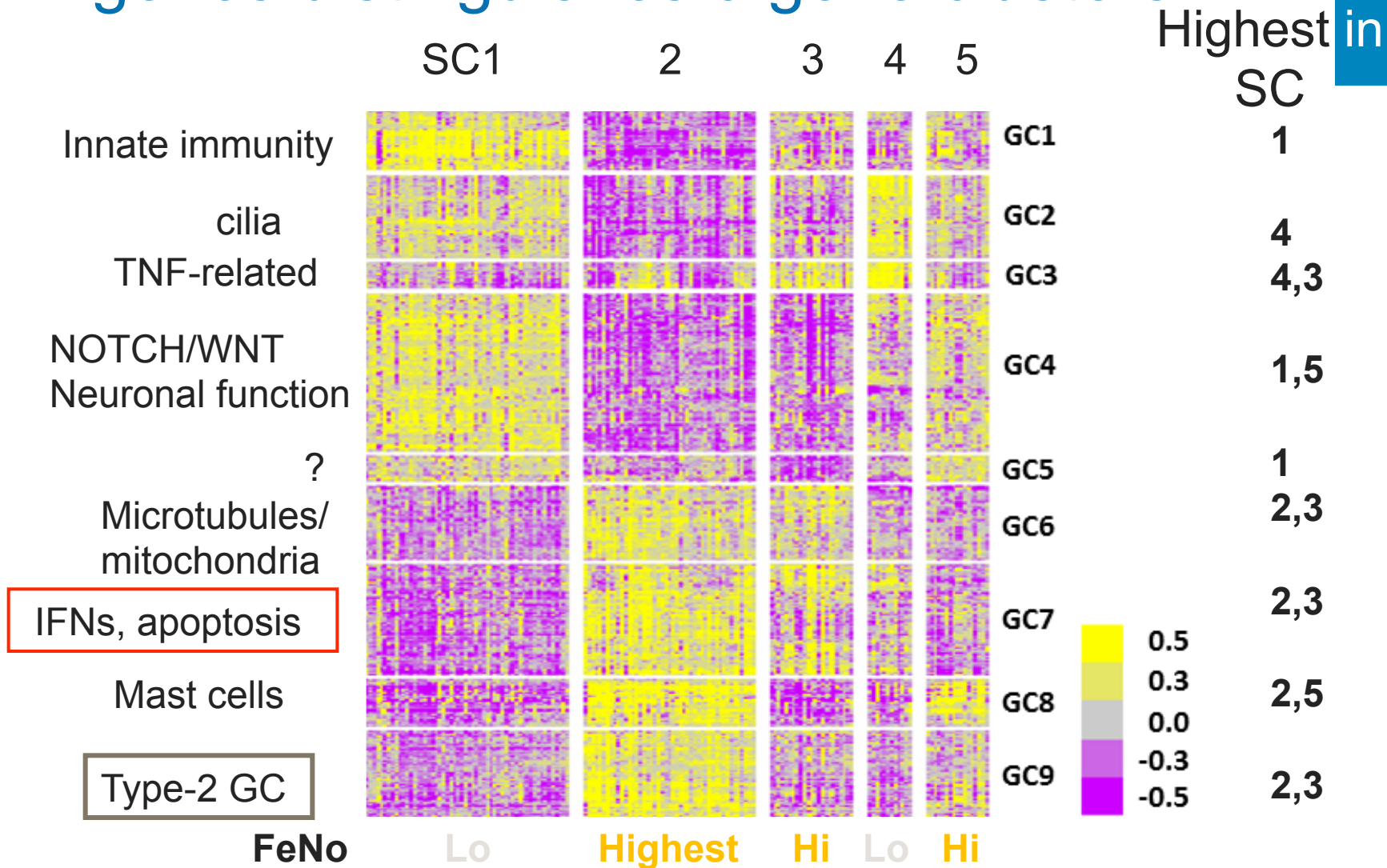
**SC2:** Early onset, 73% moderate to severe, low lung function, high eos in blood/BAL

**SC3:** Later onset majority severe, BAL neutrophils, eosinophils and lymphocytes. Nasal polyps (26%) sinus surgery (30%).

**SC4:** 50% moderate to severe. Earliest age at onset, longest disease duration. 100% atopic (including 4 HCs), high BAL lymphocytes, but low FeNO

**SC5:** Youngest, early onset, 50% African American, strongest FH/highest IgE

# Hierarchical clustering of 1349 additional genes distinguishes 9 gene clusters





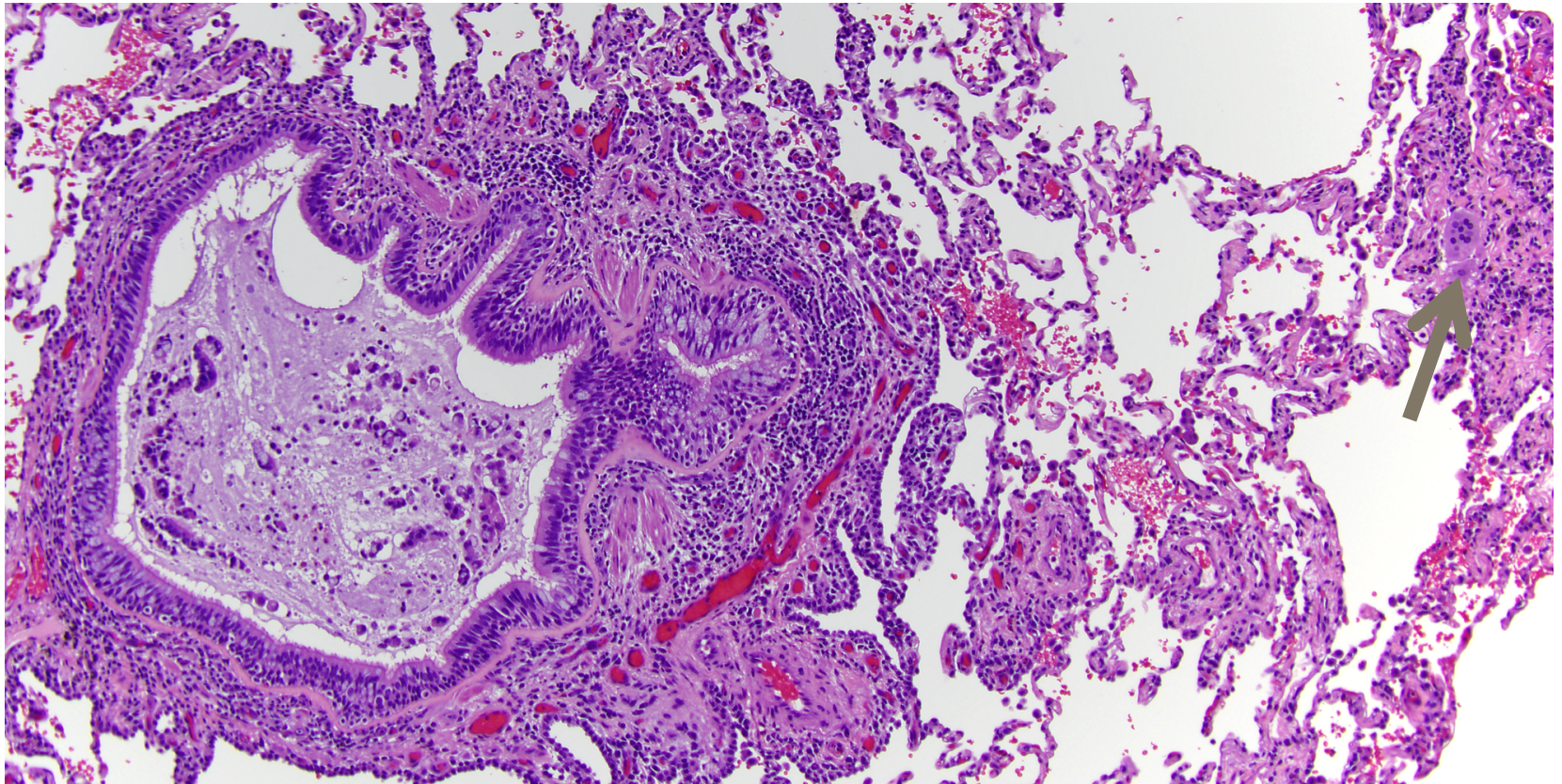
# Complex Type-2 reactive airway disease

## Role for autoimmunity?

- First reported “Asthmatic Granulomatosis” 2012
- 10 “severe asthma” pts (now ~35) who met asthma diagnosis (reversibility or + methacholine)
  - All on systemic corticosteroids (10 mg or above)
- Often adult onset or adult worsening
- Modest obstruction with decrease in FVC and DLCO
- Hi FeNO (and blood eos) despite systemic CSs
- Associated with autoimmune family history in ~70%
- All underwent VATS surgical biopsies

*Wenzel Am J Resp Crit Care Med 2012*

# Small airway inflammation and granulomas: complex immunity



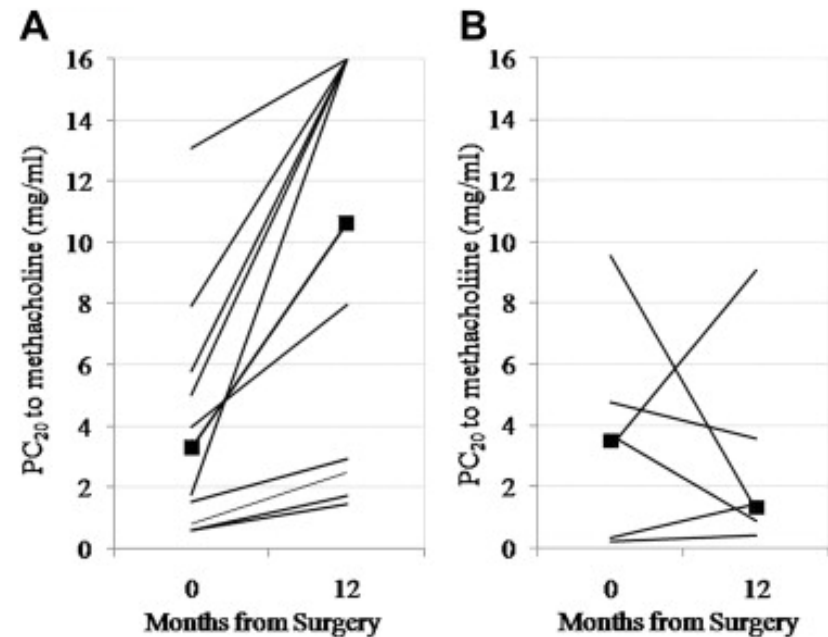
When associated with personal or family history of autoimmunity respond well to azathioprine Doberer ATS 2015

# “Type 2-Lo Asthma”

- Much less well defined than Type-2 HI
  - Defined as “apparent” absence of Type 2
    - BUT, defined by our current limited Type-2 biomarkers
  - No definite endotypes, but confounders including obesity, post infectious, smoking, long disease duration likely to play a role
  - ‘omics may provide some clues
- *All* associated with poor CS response

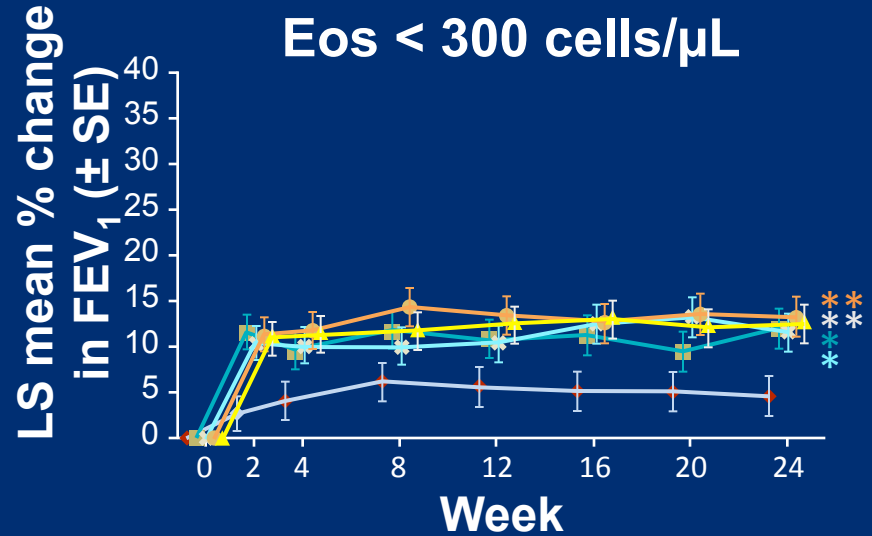
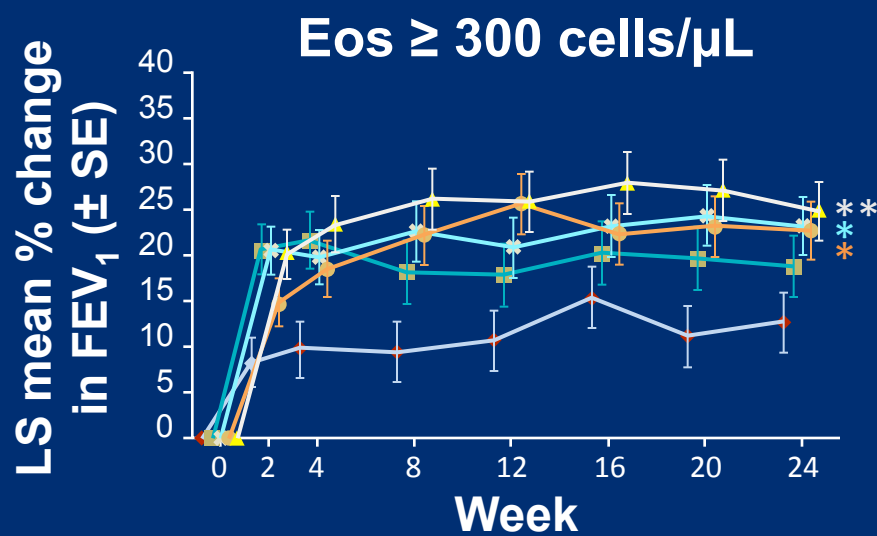
# Type 2-L0 late onset obese asthma responds to weight loss

- 23 obese asthmatics evaluated before and 12 mos after bariatric surgery
- Roughly phenotyped patients by median IgE levels (25 vs 305 IU/ml)
  - Late onset asthma=Lower IgE
- Later onset/low IgE obese asthmatics improved PC20 while no effect seen in low IgE/early onset
- Suggest weight loss will be more effective in some phenotypes



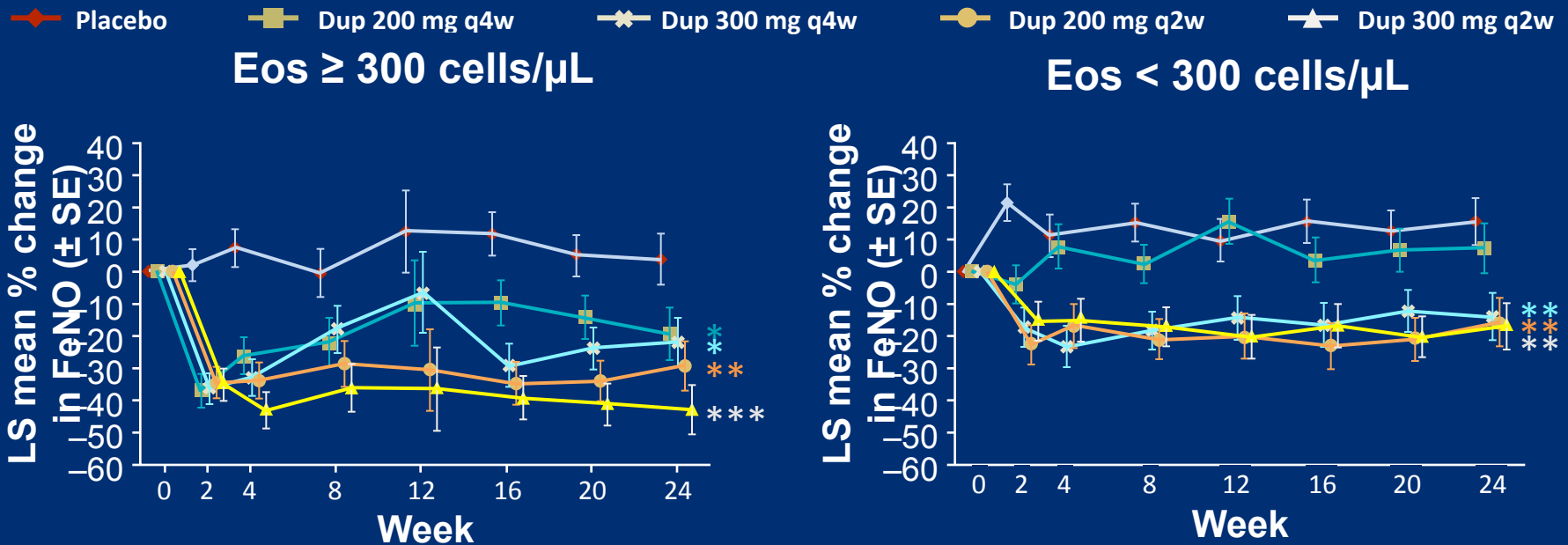
# Although changes in FEV1 smaller, IL-4R $\alpha$ antibody also effective in those with low eos/"low" Type-2

Placebo    Dup 200 mg q4w    Dup 300 mg q4w    Dup 200 mg q2w    Dup 300 mg q2w



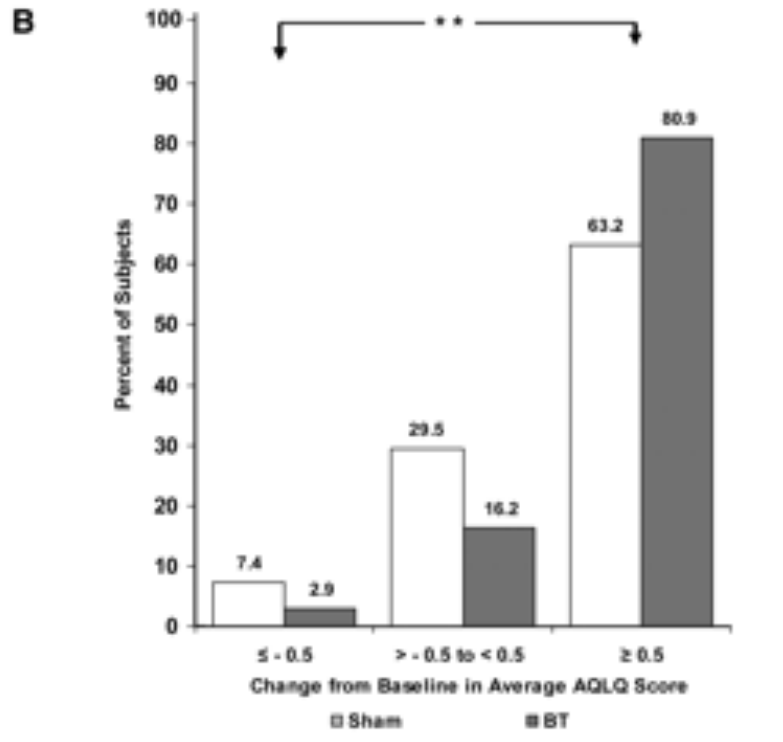
Effective response to IL-4R $\alpha$  blockade in absence of Type-2 biomarker suggests better biomarkers required!!

# Reduction in FeNO, even in low eos patients, on inhaled corticosteroids, supports ongoing Type-2 inflammation



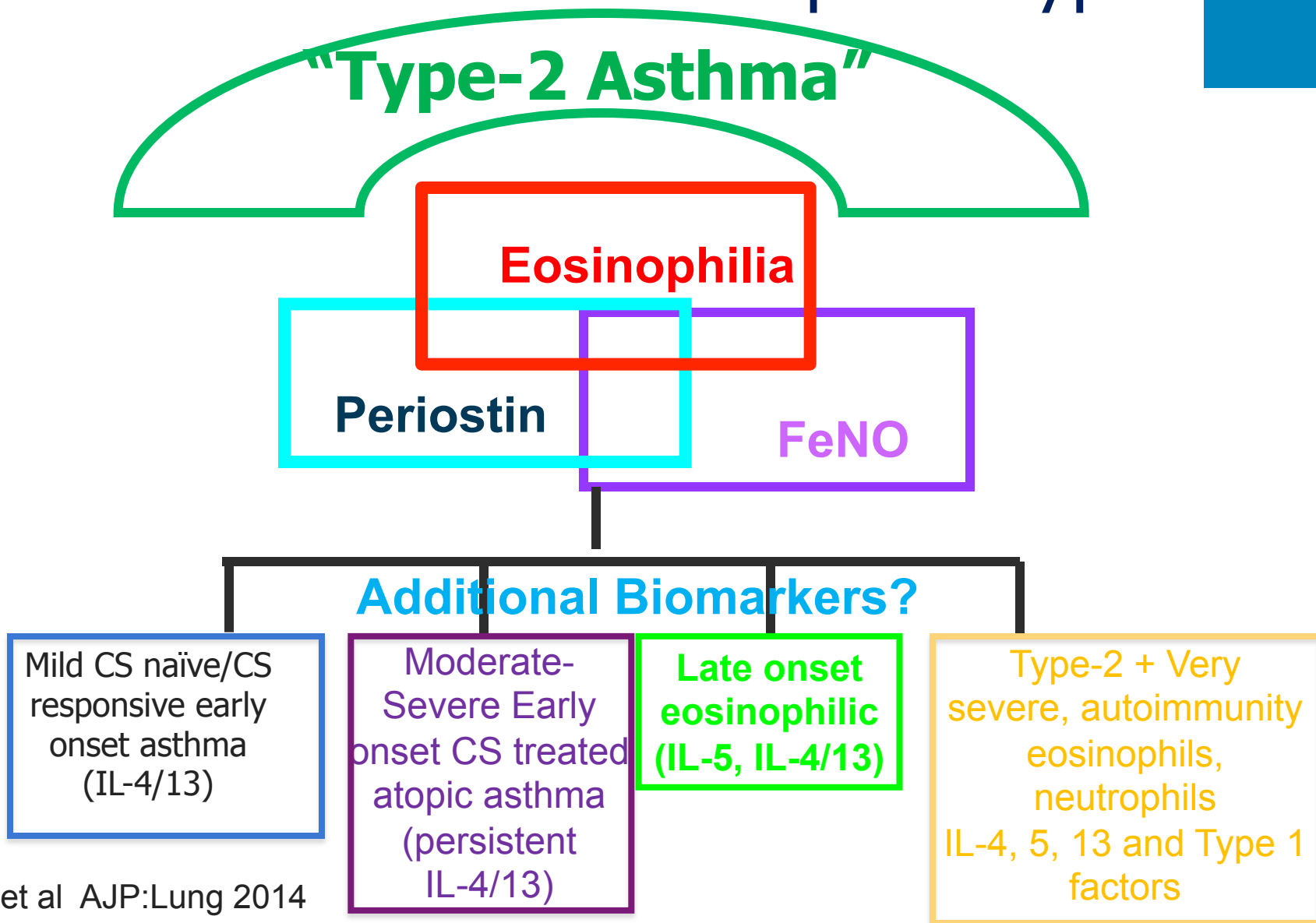
Suggests residual, corticosteroid responsive Type-2 inflammation in large % of patients

# Type 2-Lo:Bronchial Thermoplasty



- Heat airways to 65°C
- 3 bronchoscopies
- Severe asthmatics excluded on basis of FEV1, sinus disease (rules out many Type 2- HI)
- High # of exacerbations in 1<sup>st</sup> 3 mos post
- Short term efficacy modest with long term data flawed
- ATS-ERS task force recommends only doing in setting of IRB approved registry or clinical trial

# Both Rx responses AND biomarkers are needed to better define phenotypes





# Conclusions

- Determine whether the “Difficult Asthma” patient has asthma
- Treat underlying comorbidities and confounders
- Use available biomarkers to identify presence of Type-2 cytokine signature
- Maximize corticosteroids for Type-2 Hi, but consider alternatives, including T2 targeted therapies and diagnostic biopsies, to better understand and treat patients with severe asthma